# New Jersey Department of Community Affairs Division of Local Government Services Certification Unit

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Website: http://www.nj.gov/dca/divisions/dlgs/programs/certification.html

# **Certification Unit**

- Certified Municipal Finance Officers (CMFO)
- Certified County Finance Officers (CCFO)
- Qualified Purchasing Agents (QPA)
- Registered Municipal Clerks (RMC)
- Certified Tax Collectors (CTC)
- Certified Public Works Managers (CPWM)

# **Certified Public Works Managers (CPWM)**

- Certification/Exam Requirements
  - Renewal Requirements

# Certification/Exam Requirements

- 6 Requirements:
  - 21 Years of Age
  - U.S. Citizen
  - Person of Good Moral Character
  - High School Diploma or Equivalent
  - Completion of the Rutgers Center for Government Services CPWM Program
  - Public Works Manager

# **Definition of Public Works Manager**

- <u>N.J.S.A.</u> 40A:9-154.6:
  - "A person who in at least 5 of the last 10 years has served in a position of public or private public works, construction management or civil engineering position where no less than 50% of the person's work time was directly spent in daily, direct supervision of public works activities."

# The State Exam

- April and October
- Applications are due 30 days prior to the exam date
- T/F, M/C, Matching, Fill-In, Short Answer, Practical/Pictorials
- 80% to pass
- Failing examinees are given an opportunity to review their exams at DCA

# **Renewal Requirements**

- 20 hours every 3 years:
  - "Technical" 5 hours
  - "Management" 3 hours
  - "Government" 3 hours
  - "Ethics" 3 hours
  - "IT" optional

# **Renewal Requirements**

- Applications for renewal can be found on DLGS website:
  - http://www.nj.gov/dca/divisions/dlgs/programs/certification.html
- Make sure you are using the most updated application!
- \$50 renewal fee

# **Renewal Requirements**

- Every renewal "cycle" stands alone
  - No "banking" of hours from one cycle to the next
- 6-month grace period
  - Automatic
  - \$50 late fee

# Questions?

### Local Government Ethics Law

Annual Financial Disclosure
Statement

### Local Government Ethics Law

- N.J.S.A. 40A: 9-22.1 et seq. was enacted in 1991. 26 years of filing FDS's!
- The requirement for Local Government Officers (LGOs) to file a FDS is in the original law
- Local Finance Board is charged with enforcement of LGEL

### **LFB** Duties

- Annual Financial Disclosure Statements
- Review local ethics codes
- Advisory Opinions
- Complaints
- Appeals

## **Financial Disclosure Statements**

- 26<sup>th</sup> year of filing Financial Disclosure Statements
- Forms are maintained for 5 years
- 33,000 to 35,000 Local Government Officers file each year

### **Financial Disclosure Statements**

- File online at fds.nj.gov
- -Information carries over from year to year
- -Only have to file one form for multiple positions
- -LGO's have to submit all PINs on the FDS system
- -Real-time filing means LGO knows right away the FDS was submitted & can check it themselves

### Who has to file?

N.J.S.A. 40A: 9-22.3.g

- (1)elected to any office of a local government agency;
- (2) serving on a local government agency, which has the authority to enact ordinances, approve development applications or grant zoning variances;
- (3) who is a member of an independent municipal, county or regional authority; or
- (4) who is a managerial executive employee of a local government agency

### Who has to file?

- N.J.S.A. 40A: 9-22.3.g
- Department heads, including executive directors, division directors, deputy directors, and assistant directors

### Who has to file?

- 1. Business administrator;
- 2. Municipal or county manager;
- 3. Chief financial officer;
- 4. Treasurer;
- 5. Municipal clerk or clerk of governing body;
- 6. Department heads, including executive directors, division directors, deputy directors, and assistant directors;
- 7. Chief or acting chief of police and paid fire departments, or "officer in charge" in lieu of a chief or acting chief title;
- 8. Deputy chiefs and assistant chiefs of police and paid fire departments;

### Who has to file?

- 9. Chief or acting chief of a beach patrol;
- 10. Municipal and county engineer;
- 11. Health officer;
- 12. Municipal attorney and county counsel;
- 13. Planning board or zoning board of adjustment attorney;
- 14. Local government agency attorney;
- 15. Municipal and county emergency management coordinators;
- 16. Trustees on a library board of trustees;
- 17. Municipal prosecutor;
- 18. County prosecutors;
- 19. County agriculture board members;
- 20. County college board of trustees;
- 21. Board of recreation commissioners;

### Who has to file?

- 22. Local ethics board members;
- 23. Rent leveling board members;
- 24. Special improvement district executive director/director and its members;
- 25. Special taxing district executive director/director and its commissioners;
- 26. Joint insurance fund executive director/director and its commissioners;
- 27. Local pension board commissioners;
- 28. Tax collector;
- 29. Qualified purchasing agent;
- 30. Construction official; and
- 31. Tax assessor.

### N.J.S.A. 40A:9-22.6 What's on the FDS?

- (1) Sources of income exceeding \$2000
  - All sources of income-no exceptions
- (2) fees and honorariums exceeding \$250
- (3) source of gifts, reimbursements or prepaid expenses having an aggregate value exceeding \$400 from any single source, excluding relatives,

### N.J.S.A. 40A:9-22.6

- (4) name and address of all business organizations in which the local government officer or a member of his immediate family had an interest
- (5) address and brief description of all real property in the State

### What's on the FDS?

Information used to fill out the FDS is from the **previous** calendar year.

To fill out the form in 2017 use your sources of income and business organizations from **2016**.

### **FDS Violations**

For 2015, 33,720 LGOs were listed on the roster. Only 1400 Notice of Violations were issued.

### **FDS Violations**

- FDS violations have always been enforced
- 1st case ever decided under the LGEL was a FDS violation
  - Appellate Division upheld the filing requirement for Library Board trustee.
- FDS Violations are violations of the Local Government Ethics Law.

The Process for non-filers

Local Finance Board votes at a meeting to issue a Notice of Violation. NOVs are then sent to the Clerk to distribute to the LGO.

### The Process

LGO's can then choose to either

- A) Submit a written request for a hearing
- B) Pay the fine.

Either way, the FDS has to be filed.

For 2015, the Board waived fines:

- Multiple Positions
- Filed other ethics forms
- Filed using the 2013 form
- Medical Hardship
- Non-notice by the Clerk
- Error by the Clerk

No blanket rules though.

### **FDS Violations**

Board has become stricter on LGO's who incorrectly fill out their FDS finding violations and fines.

Ex. Don't list sources of income or business organizations claiming they "forgot"

# **Certified Public Works Manager**

### RECORD OF CONTINUING EDUCATION / CERTIFICATION RENEWAL APPLICATION

Part I: Pl	ease comple	te the following contact information:							
Name:		CPV	CPWM No.			Exp. Date			
Address o	of								
Record:									
Home									
Phone:		Work Phone:	E-Mail of record:						
IMPORTANT – Please note that the address and email you provide will be entered into the Division database as your ADDRESS/E-MAIL OF RECORD. Such address and email may then be provided to any member of the public who requests it. Therefore, if you do not wish your home address or email to be your address/email of record, please provide an alternative address and email. Your address of record must include a street address. Please notify the Division of any future changes to your address/e-mail of record.  Part II: As you complete an approved course for continuing education contact hours, fill in each row as appropriate. List the number of approved hours in the appropriate subject column.					Management	Government	Ethics	Information Technology	
Course No.	Course Date	Course Name	Course Sponsor					<u>u</u>	
		CONT	INUE ON NEXT PAGE						

As you complete an approved seminar for continuing education contact hours, fill in each row as appropriate. List the number of approved hours in the appropriate subject column.					Management	Government	Ethics	Information Technology
Course	Course	Course Name	Course Sponsor					
No.	Date							
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Renewal re "Ethics", "N	equires complet Management" a	tion of 20 contact hours of continuing educa	tion credits. Applicants for renewal must obtain "Technical". "Information Technology" is an	n a minimu optional ca	ım of three ategory.	e (3) conta	act hours in	
Part III: 0	Certification	of Attendance:						
	Ι,	PRINT NAME	PWM # certify that I ha	ave				
misreprese	entation on my j	programs noted above which are required fo part may be grounds for suspension or revo	or the renewal of my certified public works mar cation of my certification. Further, I understan vithin six (6) months after the renewal date of I	d that the L	Division of			
Signatu	ure:		Date:		_			
803, Trento APPLICAT	on, New Jersey TION FEE IS NO	08625-0803. Applications must be accomp	rward the application to: Certification Unit, Div anied by a check or money order for \$50.00 m as concerning completion of the application, plant	ade payab	le to the S	tate Trea	surer. THE	

APPLICATIONS SUBMITTED AFTER THE EXPIRATION DATE REQUIRE AN ADDITIONAL \$50.00